

Business Responsibility and Sustainability Report

Business Responsibility and Sustainability Report as required by SEBI has hosted on the website of the Bank that is www.unionbankofindia.co.in Any member interested in obtaining physical copy of the same may write to Company Secretary of the Bank.





NOMINATION FORM

(Refer Regulation 65 (d) of the Regulations)

To,
The Managing Director & CEO
Union Bank of India
Central Office,
Mumbai – 400 021

Election of a Director

Dear Sir,

“ ”

With reference to your Notice dated 14th June, 2024, I, _____
, a shareholder of Union Bank of India, holding _____ equity
shares of Rs. 10/- each (fully paid up) as on 28th June, 2024 (being the cut-off date for participating in the election) do
hereby nominate Shri/Smt. _____ son/ daughter/wife of Shri/Smt.
_____ residing at _____, for being
elected as a Director of Union Bank of India representing the shareholders of the Bank as provided in Section 9 (3) (i) of the
Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970, at the Annual General Meeting of the shareholders to
be held on 26th July, 2024.

Signature _____

Name _____

No. of Shares . _____

Regd. Folio No. . _____

(If not Dematerialised)

DP.ID. No. _____

Client ID. No. _____

(If Dematerialised)

Place:

Date:

Notes :

In case nomination is made by a Body Corporate, the nomination form should be accompanied by a certified true copy of the
resolution passed by the Board of Directors under the signature of the Chairman of the meeting at which it was passed.

Signatures of the shareholders nominating the candidates should match with the specimen signatures available with Share
Transfer Agent of the Bank.

If any of the columns above is left Blank or the particulars are found to be incorrect, the nomination is liable to be rejected.





DECLARATION

(Refer Regulation 65 of the Regulations)

I, _____ son/daughter
wife of Shri/Smt _____, a resident
of _____, holding equity shares of Rs. 10/- each (fully paid up) in UNION
BANK of INDIA under Folio No. _____ /DP ID No. _____ / Client ID No. _____ as
on 28th June, 2024 i.e. the cut-off date for participating in the election and having special knowledge or practical experience in:*

- i) Agriculture and Rural Economy
 - ii) Banking
 - iii) Co-operation
 - iv) Economics
 - v) Finance
 - vi) Law
 - vii) Small Scale Industry
 - viii) Any other matter the special knowledge of Business Management/Risk Management/Finance/Human Resources/ IT/ Payment and Settlement Systems/ etc. and practical experience in which would in the opinion of the Reserve Bank of India be useful to the bank or representing the interest of Depositors and/or Farmers, Workers and Artisans, in terms of Sub Section 3A of Section 9 of the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970 (and as an evidence thereof I submit herewith the relevant testimonials) hereby declare that
 - a) I accept the nominations numbered from to , and
 - b) I am willing to stand for the election as Director of Union Bank of India and
 - c) I am not disqualified from being a Director of the Bank under the provisions of the Banking Regulation Act, 1949, The Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970, the Nationalised Banks (Management and Miscellaneous Provisions) Scheme, 1970 and the Union Bank of India (Shares and Meetings) Regulations, 1998 and
 - d) I neither hold any office of profit nor an employee of any nationalised Bank or State Bank of India constituted under sub-section (1) of Section 3 of the State Bank of India Act, 1955 or any subsidiary bank as defined in Section 3 of the State Bank of India (Subsidiary Banks) Act, 1959 and
2. I also submit a separate Personal Information, Declaration and Undertaking(PDU Form) as per Reserve Bank of India directives to consider for fit and proper status.

Signature _____

Name: _____

Place: _____

Date : _____

Encl: as above _____

The above declaration was signed before me by Shri /Smt. _____

Signature with Seal and
Name of the attesting official

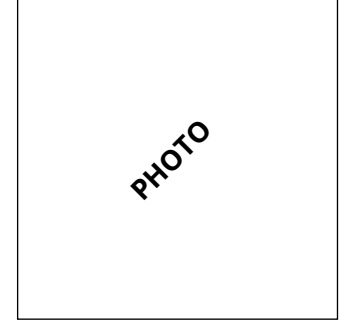
Note: The declaration must be signed by the nominee before a Judge, Magistrate, Registrar or Sub-Registrar of Assurances, or other Gazetted Officer or an Officer of Reserve Bank of India or any Nationalised Bank.

* Delete whichever is not applicable.



Union Bank of India

Personal Information, Declaration and Undertaking by the Candidate (with appropriate enclosures)



Sr. No.	Particulars	Information Disclosed								
I. Personal Details										
1.	Name in Full									
2.	Father's Name									
3.	Gender (M/F/Others)									
4.	Present Address									
5.	E-mail address & alternate e-mail address:									
	Telephone Number with STD code:									
	Mobile Number:									
6.	Nationality									
7.	Date of Birth									
	(dd/mm/yyyy) and Age	--/-- /---- Age : -- years -- months								
8.	Educational qualifications									
9.	Director Identification Number (DIN)									
10.	Aadhaar Number (Optional)									
11.	(a) Permanent Account Number (PAN)									
	(b) Charge where the proposed director is assessed to tax (Income Tax jurisdiction)/name and address of Income Tax Circle/Ward									
	(c) Details of filing of return(s) and payment of taxes for past 3 years	<table border="1"> <thead> <tr> <th>Date of Filing</th> <th>Amount of tax paid (INR)</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table>	Date of Filing	Amount of tax paid (INR)						
Date of Filing	Amount of tax paid (INR)									
12.	Permanent address									



Sr. No.	Particulars	Information Disclosed								
13.	Details in the form of a brief write up on the relevant knowledge or experience in respect of one or more of the matters namely accountancy, agriculture and rural economy, banking, co- operation, economics, finance, law, small scale industry, information technology, payment and settlement systems, human resources, risk management, business management or any other matter the special knowledge of and practical experience of which would in the opinion of the Reserve Bank be useful to the Banking Company									
14.	Present occupation (designation, name of the organisation and brief write-up on experience)									
15.	Previous occupation covering minimum of past ten years, with complete address of the organisation(s) worked in, date of joining, date of relieving (including reasons), designation, etc.									
16.	In case a Chartered Accountant, indicate the following:									
	(a) Membership Number of Institute of Chartered Accountants of India (ICAI):									
	(b) Date of registration with the ICAI:									
	(c) Name and Address of the registered firm/s:									
	(d) Details of the Audit(s) presently undertaken by the firm(s) or by you:									
17.	Name of the banker(s) with Branch and Account Numbers (savings/current/loan accounts) where you are a primary account holder:	<table border="1"> <thead> <tr> <th>Bank Name</th> <th>Branch</th> <th>Type of A/c</th> <th>A/c Number</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Bank Name	Branch	Type of A/c	A/c Number				
Bank Name	Branch	Type of A/c	A/c Number							
18.	Details of shareholding, if held in any entity, either in physical or dematerialized form, by you, spouse, and your minor child. (attach demat/shareholding certificate)									
19.	Any other information relevant to directorship of the bank:									
II. Relevant Relationships of proposed director										
20.	List of relatives, [Refer Section 2(77) of the Companies Act, 2013 and Rule 4 of the Companies (Specification of Definition) Rules, 2014] if any, who are connected with any bank:									
21.	List of entities in which:									
	(a)interested [Refer Section 184 of the Companies Act, 2013]:									
	(b)beneficial ownership [Refer Section 89 of Companies Act, 2013 as also the applicable Significant Beneficial Ownership Rules of MCA]:									
	(c) Trustee (also mention any other relationship with reference to a trust):									



Sr. No.	Particulars	Information Disclosed	
22.	List of entities, existing and proposed, in which holding substantial interest within the meaning of Section 5(ne) of the Banking Regulation Act, 1949.	Name of the company / firm	
		Country of incorporation	
		Number of shares	
		Face Value of each share	
		Total face value of share holding	
		Shareholding as % of total Paid up Capital	
		Beneficial interest (in value as well as % terms)	
		Whether the entity is a Section 8 Company under Companies Act, 2013	
23.	Details of holdings in entities incorporated abroad and having a place of business in India.		
24.	Name of Bank/NBFC/any other company in which currently or in the past a member of the Board/ Advisor etc. (giving details of period during which such office is being/ was held).		
25.	If connected with any entity undertaking hire purchase, financing, investment, leasing and other para banking activities (nature of association to be mentioned), details thereof.		
26.	If a stock broker or connected with any entity engaged in share broking activities, details thereof.		
27.	Details of fund and non-fund-based facilities, if any, presently availed in person and/or by entities listed in (21) to (26) above.		
28.	Cases, if any, where as an individual or the entities listed at (21) to (26) above have defaulted or declared as willful defaulter in the past in respect of credit facilities obtained from a bank/NBFC/any other lending institution.		

III. Records of professional achievements

29.	Professional achievements relevant for the directorship.		
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IV. Proceedings, if any, against the proposed director

30.	(a) As a member of a professional association/ body, details of disciplinary action, if any, pending or commenced or resulting in conviction in the past or whether been banned from entry at any profession/ occupation at any time, details thereof.		
	(b) If subject of any written complaint or accusation regarding individual professional conduct or activities, details thereof.		
31.	Details of prosecution, if any, pending or commenced or resulting in conviction of self or the entities listed at (21) to (26) above for violation of economic laws and regulations.		



Sr. No.	Particulars	Information Disclosed
32.	Details of criminal prosecution, if any, pending or commenced or resulting in conviction.	
33.	If indulged in any breach of AML/CFT guidelines, details thereof.	
34.	If attracting any of the disqualifications envisaged under Section 164 of the Companies Act, 2013, details thereof.	
35.	If adjudicated insolvent or has suspended payment or has compounded with creditors, details thereof.	
36.	If found to be of unsound mind and stands so declared by a competent Court, details thereof.	
37.	(a) If convicted by a Criminal Court of an offence which involves moral turpitude or otherwise, details thereof.	
	(b) If convicted by any Court of law, details thereof?	
38.	If holding any office of profit under any nationalised bank or State Bank of India, except for holding the post of a whole-time director, details thereof.	
39.	If as an individual or any of the entities at (21) to (26) above have been subject to any investigation/vigilance/matters of enquiry from any of the previous employers or government departments or agency, details thereof.	
40.	If found guilty of violation of rules/ regulations/ legislative requirements by customs/ excise/ income tax/ foreign exchange/ other revenue authorities, details thereof.	
41.	If reprimanded, censured, restricted, suspended, barred, enjoined, or otherwise sanctioned by any regulator such as SEBI, IRDAI, PFRDA etc., professional organisation,	
	or activities, details thereof. (Though it shall not be necessary for a candidate to mention in the column about orders and findings which have been later on reversed/ set aside in toto, it would be necessary to make a mention of the same, in case the reversal/ setting aside is on technical reasons like limitation or lack of jurisdiction, and not on merit. If the order is temporarily stayed and the appellate/ court proceedings are pending, the same also should be mentioned).	
v. General Information		
42.	If a professional like Chartered Accountant, Advocate etc. and presently undertaking/ undertaken any professional work in any bank, provide details thereof including the name of the bank and period of association with the bank.	
43.	If a sitting MP/MLA/MLC or holding political position in Municipal Corporation or Municipality or other local bodies, provide details thereof.	
VI.	In the interest of disclosure and transparency, should there be any other information relevant for assessing 'fit and proper', provide details thereof.	
	Undertaking I confirm that the above information is to the best of my knowledge and belief, true and complete. I undertake to keep the bank fully informed, as soon as possible, of all events which take place after my appointment which are relevant to the information provided above.	



Sr. No.	Particulars	Information Disclosed
	I also undertake to execute a 'Deed of Covenant' as required to be executed with the bank.	
	Place :	
	Date :	Signature of Candidate
	Enclosures :	
	Note :	
	1. Wherever space is not sufficient, please attach the information as annexure in chronological order and with appropriate cross reference	
	2. Each page (including annexures) is required to be signed by the candidate.	
	Remarks of Nomination and Remuneration Committee (NRC) / Board of having satisfied itself that the above information is true and complete.	
	Place :	
	Date :	Signature of the Chair

